



Attorney Docket No. TEQ 1117002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

GAU 2768 #4  
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JUN 27 2000  
GROUP 2700

U.S. application of:

Douglas R. Elliott

For:

**METHOD FOR OBTAINING AND ALLOCATING INVESTMENT  
INCOME BASED ON THE CAPITALIZATION OF INTELLECTUAL  
PROPERTY**

U.S. Serial No.:

09/481,126

Filed:

January 11,

2000

Group Art Unit:

2768

Assistant Commissioner for  
Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on <u>6-21-00</u>	
<u>Yanela O. CARPENTER</u>	
Typed or Printed Name	
<u>Yanela O. Carpenter</u>	
Signature	

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the provisions of 37 C. F. R. §1.97, Applicant hereby makes of record the references set forth in the attached modified form PTO-1449. No representation is made, and no representation is intended, that more relevant material does not exist, or that the order of presentation of this material in any way reflects the relative pertinence. The cited patents are listed in numerical order and not in any order based on their pertinence. The references cited are not intended to constitute an admission of any kind. Specifically, this presentation is not an admission that the items listed in the attached Form 1449 are properly citable against the above-identified application as prior art.


Applicant considers the invention to be distinguishable over the references cited.

It is requested that the Examiner fully considers the cited references and that they be cited on the front of any patent issuing from this application. Copies of the cited references are attached.

As this Information Disclosure Statement is being filed before the issuance of the first office action, no fee is enclosed. However, if a fee were to be required, please charge any fee (other than an issue fee) required during the pendency of this U. S. patent application to Deposit Account No. 50-0605 of CARR & STORM, L.L.P.

Respectfully submitted,  
CARR & STORM, L.L.P.

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